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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 7361**  
Mitsuaki OSHIMA et al. : Docket No. 2003\_1528  
Serial No. 10/692,469 : Group Art Unit 2614  
Filed October 24, 2003 :  
COMMUNICATION SYSTEM :

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application  
PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. [X] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

In the J.A.C. Bingham reference, pages 9 and 10 are advertising pages and have been omitted.

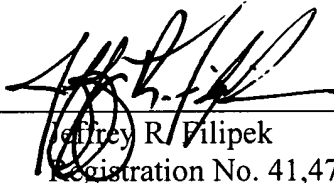
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.
6. ☐ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Mitsuaki OSHIMA et al.

By



Jeffrey R. Filipek  
Registration No. 41,471  
Attorney for Applicants

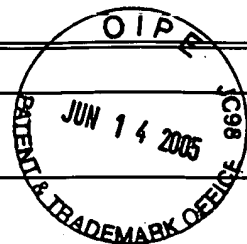
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June 14, 2005

## INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 ( modified )

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary )

Date Submitted to PTO: June 14, 2005

ATTY DOCKET NO.  
2003\_1528SERIAL NO.  
10/692,469APPLICANT  
Mitsuaki OSHIMA et al.FILING DATE  
October 24, 2003GROUP  
2614

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,824,548	7-16-74	Sullivan et al.			
	AB	3,959,726	5-25-76	Hinoshita et al.			
	AC	4,303,939	12-1-81	Stephens et al.			
	AD	4,303,941	12-1-81	Marti et al.			
	AE	4,309,726	1-5-82	Tanaka et al.			
	AF	4,535,352	8-85	Haskell			
	AG	4,564,858	1-14-86	Resch			
	AH	4,581,639	4-8-86	Judge			
	AI	4,769,819	9-6-88	Matsutani et al.			
	AJ	4,794,447	12-27-88	Tsinberg			
	AK	4,800,426	1-24-89	Glenn			
	AL	4,817,192	3-28-89	Phillips et al.			
	AM	5,007,088	4-9-91	Ooi et al.			
	AN	5,029,003	7-91	Jonnalagadda			
	AO	5,134,464	7-28-92	Basile et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AP						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AQ	Masafumi SAITO et al., "Bit Error Rate Characteristics of OFDM in Multipath Environment", 1991
AR	Ryutaro OHMOTO et al., " $\pi/4$ -shift QPSK Subcarrier Transmission", 1991

EXAMINER

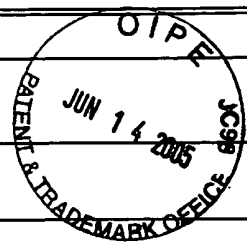
DATE CONSIDERED

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,181,112	1-19-93	Citta et al.			
	AB	5,377,051	12-27-94	Lane et al.			
	AC	5,400,084	3-21-95	Scarpa			
	AD	5,576,902	11-19-96	Lane et al.			
	AE	5,218,622	6-8-93	Fazel et al.			
	AF	5,214,656	5-25-93	Chung et al.			
	AG	5,598,220	1-97	Citta et al.			
	AH	5,802,241	9-1-98	Oshima			
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	3-48587	3-1-91	Japan			abstract	
	AK							
	AL							
	AM							
	AN							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	J. A. C. Bingham, "Multicarrier Modulation for Data Transmission: An Idea Whose Time Has Come", IEEE Communications Magazine, Vol. 28, May 1990, pages 5-8 and 11-14
AP	B. Hirosaki, "An Orthogonally Multiplexed QAM System Using the Discrete Fourier Transform", IEEE Transactions on Communications, Vol. Com-29, No. 7, July 1981, pages 982-989
AQ	L. J. Cimini, Jr., "Analysis and Simulation of a Digital Mobile Channel Using Orthogonal Frequency Division Multiplexing", IEEE Transactions on Communications, Vol. Com-33, No. 7, July 1985, pages 665-675 and Annex

EXAMINER

DATE CONSIDERED